

ASPR TRACIE Technical Assistance Request

Requestor: [REDACTED]
Requestor Phone: [REDACTED]
Requestor Email:
Request Receipt Date (by ASPR TRACIE): 28 August 2017
Response Date: 31 August 2017
Type of TA Request: Standard

Request:

[REDACTED] requested technical assistance with locating templates for homecare consumers and their loved ones to complete regarding specific health conditions, and resources related to their needs by disaster to help agencies comply with the Centers for Medicare and Medicaid Services (CMS) Emergency Preparedness (EP) Rule.

Response:

The ASPR TRACIE Team reviewed existing ASPR TRACIE Topic Collections for related resources to homecare resources; namely the [ASPR TRACIE Homecare and Hospice Topic Collection](#), which provides various resources including plans, tools, and templates.

CMS-Specific Information:

CMS recently published the [interpretive guidelines](#) and the State Operations Manual that addresses specific regulatory expectations. This is posted on their website (under the Downloads section): <https://www.cms.gov/Medicare/Provider-Enrollment-and-Certification/SurveyCertEmergPrep/Emergency-Prep-Rule.html>.

ASPR TRACIE has developed and collected a number of resources that we encourage you to use and believe will help facilitate compliance, including the resources provided here. However, this does not substitute review of the final rule text and interpretive guidelines. If you have specific questions about your facility's compliance please review the interpretive guidelines when they are developed, contact your state's survey agency, or the CMS Survey and Certification Group (SCG) at the following email address: SCGEmergencyPrep@cms.hhs.gov.

CMS and ASPR TRACIE are partnering to provide technical assistance, and share resources and promising practices to help affected providers and suppliers start or update the documents mandated by the new Emergency Preparedness rule. Additional key resources include:

- The ASPR TRACIE dedicated CMS Rule page: <https://asprtracie.hhs.gov/cmsrule>
- The entire CMS Emergency Preparedness Rule: <https://federalregister.gov/a/2016-21404>
- The CMS Emergency Preparedness Survey and Certification Page: <https://www.cms.gov/Medicare/Provider-Enrollment-and-Certification/SurveyCertEmergPrep/Emergency-Prep-Rule.html>

- CMS has [developed a Quick Glance Table of the rule requirements by provider type](#), to highlight key points of the new Emergency Preparedness rule. **NOTE:** This table is not meant to be an exhaustive list of requirements nor should it serve as a substitute for the regulatory text.
- ASPR TRACIE developed a [CMS Emergency Preparedness \(EP\) Rule Resources at Your Fingertips Document](#). Within this document are links to key resources:
 - CMS' developed [frequently asked questions \(FAQ\) documents](#) that synthesizes answers to commonly asked inquiries about the CMS EP Rule.
 - The FAQs, in combination with the CMS [at-a-glance chart](#) and [Provider and Supplier Type Definitions Fact Sheet](#), can help planners identify and address planning gaps and facilitate compliance with the regulations.
 - Interested in learning more about your local healthcare coalition? [This chart](#) can help you identify the preparedness office of your state public health agency. Remember: the release of the CMS EP Rule provides healthcare coalitions a tremendous opportunity to strengthen relationships and leverage a broader group of personnel and resources to provide for the medical needs of the whole community during a disaster.
 - If you missed any of the Medicare Learning Network National Call on the EP Rule, you can access the PowerPoint [slides, transcript, and audio recording here](#).

I. Homecare Templates

American Red Cross. (n.d.). [Disaster Preparedness for Seniors by Seniors](#). (Accessed 8/30/2017.)

The following document provides essential preparedness information for seniors and potentially those in home care situations regarding making a plan, getting a kit and being informed during an emergency.

Carr, M., Hammon, R., Glenn, J., et al. (2010). [Emergency Preparedness Packet for Home Health Agencies](#). National Association for Home Care and Hospice.

This document is the product of large national workgroup and includes tools and templates that can be customized and used by hospice and homecare providers while developing all hazards emergency preparedness plans.

City of Baton Rouge. (2015). [Louisiana Model Home Health/Hospice Emergency Plan](#).

This template can be used by home health/hospice facilities in Louisiana (or modified by planners in other states) interested in developing emergency plans.

Montgomery County Advanced Practice Center for Public Health Emergency Preparedness and Response. (2007). [Emergency Preparedness Checklist for Case Management and Home Care Services](#).

This checklist was created to ensure that clients receiving home care and case management services in Montgomery County (MD) develop an emergency plan and stock a 72 hour (or longer) supply of nine essential items (e.g., water, food, hygiene

items, and clothes). The checklist can be replicated by others, and can also be added to a client's chart.

South Carolina Department of Health and Environmental Control. (2013). [Patient/ Client Evacuation Planning: A Tool for Emergency Preparedness.](#)

This tool can help home health, hospice and other agencies assist their patients/clients in developing an appropriate emergency evacuation plan by enabling staff to maintain an information record of summary medical information and specific patient needs during an emergency.

The Association for Home and Hospice Care of North Carolina. (2007). [Emergency Preparedness Handbook.](#)

This handbook was designed to help home and hospice care agencies, staff, and patients develop their disaster plans. It provides an introduction to a variety of hazards for providers, discussion scenarios, forms, and templates.

Zane, R., and Biddinger, P. (2011). [Home Health Patient Assessment Tools: Preparing for Emergency Triage.](#)

The purpose of this guide was to develop a patient risk assessment tool that will allow home care agencies, hospitals, and emergency planners to anticipate the needs of all home care patients in a community, should a mass casualty incident (MCI) occur. It focuses on those whose needs are most complex—those patients who could not be safely evacuated to a public shelter or even a special needs shelter during a MCI. It includes a Community-Living Patient Assessment Tool for Disaster Planning, which is a screening tool that creates a record for identifying patient needs, and plans for meeting those needs.